
Regulatory Notice 21-03

Issue Date: September 17, 2021
Attention: Compliance and Trading Departments
Subject: LatAm SEF Rulebook, Version 1.10.1 Adoption
Summary: LatAm SEF, LLC is notifying Trading Privilege Holders of a Rulebook Adoption

Effective Friday, September 17, 2021, LatAm SEF adopted version 1.10.1 of the LatAm SEF Rulebook following a ten (10) business day review period as required for CFTC Regulation 40.6 filings. LatAm SEF Rulebook, Version 1.10.1 has been published to the LatAm SEF web site. For a summary explanation of the amendments and a Rulebook markup against version 1.9.1, see LatAm SEF Regulatory Notice 21-02.

Executive Summary

LatAm SEF has certified changes, additions, and deletions in association with the following rules:

- Rule 101. Defined Terms
- Rule 202. Board
- Rule 203. Committees
- Rule 204. [Reserved]
- Rule 205. Regulatory Oversight Committee
- Rule 206. Nominating Committee
- Rule 821. Arbitration
- Rule 822. Arbitration Forum
- Rule 823. Initiating an Arbitration Claim
- Rule 1223. Mexican TIIE/U.S. Dollar SOFR Cross-Currency Basis Swap

Contacts

Comments and questions regarding this Notice should be directed to:

- Joseph Skelly, Chief Compliance Officer
Direct Line: (646) 344-3274
Email: jskelly@latamsef.com