
Regulatory Notice 23-04

Issue Date: July 31, 2023
Attention: Compliance and Trading Departments
Subject: LatAm SEF Rulebook, Version 1.14 Certification
Summary: LatAm SEF, LLC is notifying Trading Privilege Holders of a CFTC Regulation 40.6 Filing

On Monday, July 31, 2023, LatAm SEF filed amendments to the LatAm SEF Rulebook with the Commodity Futures Trading Commission (CFTC) to delist products that reference LIBOR, for which there is no open interest due to the discontinuation of LIBOR. These amendments are scheduled to take effect August 1, 2023.

Executive Summary

LatAm SEF is submitting a Regulation 40.6 filing to the CFTC to certify the removal of rules related to products that reference LIBOR. The filing is available at <http://latamsef.com/notices.htm> under “Regulatory Filings & Notices.”

Rule Revision Process

Rulebook revisions must be filed with the CFTC pursuant to CFTC Regulation 40.6. Because this Rulebook revision is solely for the purpose of delisting products with no open interest, it is not subject to regulation 40.6(a)(3), which requires a 10 business day certification.

As per CFTC regulation 40.6(a)(2), concurrent with the filing of this CFTC Regulation 40.6 filing with the CFTC, the SEF must post a notice of the pending certification and a copy of the submission on the SEF's web site. Both this notice and the related submission can be found on the SEF's web site at <http://latamsef.com/notices.htm> under “Notices to Trading Privilege Holders” and “Regulatory Filings & Notices,” respectively.

Contacts

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