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## Regulatory Notice 16-05

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**Issue Date:** October 6, 2016  
**Attention:** Compliance and Trading Departments  
**Subject:** LatAm SEF Rulebook, Version 1.5 Adoption  
**Summary:** LatAm SEF, LLC is notifying Trading Privilege Holders of a Rulebook revision

Effective Thursday, October 6, 2016, LatAm SEF adopted version 1.5 of the LatAm SEF Rulebook following a ten (10) day review period as required for CFTC Regulation 40.6 filings. LatAm SEF Rulebook, Version 1.5 has been published to the LatAm SEF web site. For a summary explanation of the amendments and a Rulebook markup against version 1.4, see LatAm SEF Regulatory Notice 16-04.

### Executive Summary

LatAm SEF has certified changes, additions, and deletions in association with the following chapters:

- Chapter 1. Defined Terms
- Chapter 2. Governance
- Chapter 3. Trading Privilege Holders
- Chapter 4. Trading Privilege Holder Obligations
- Chapter 5. Trading Practices
- Chapter 6. Cleared Transactions
- Chapter 7. Business Conduct
- Chapter 12. IRS Contract Terms and Conditions
- Chapter 13. FX Contract Terms and Conditions

### Contacts

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